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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/866,051	05/25/2001	Aindrais O'Callaghan	AP103TP	4170
20178	7590	11/16/2004	EXAMINER	
EPSON RESEARCH AND DEVELOPMENT INC INTELLECTUAL PROPERTY DEPT 150 RIVER OAKS PARKWAY, SUITE 225 SAN JOSE, CA 95134			EDWARDS, PATRICK L	
			ART UNIT	PAPER NUMBER
			2621	

DATE MAILED: 11/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 09/866,051	<b>Applicant(s)</b> O'CALLAGHAN ET AL.	
	<b>Examiner</b> Patrick L Edwards	<b>Art Unit</b> 2621	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 18 June 2004.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-50 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-50 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

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### **DETAILED ACTION**

1. The response received on June 18, 2004 has been placed in the file and was considered by the examiner. An action on the merits follows.

#### ***Response to Arguments***

2. The applicant's arguments, filed on June 18, 2004, have been fully considered. A response to these arguments is provided below.

#### **35 USC 112, Second Paragraph Rejections**

*Summary of Argument:* Applicant has amended the independent claims 1 and 33 to add antecedent basis for the term "area".

*Examiner's Response:* The rejections from the previous action under 35 USC § 112(2) are hereby withdrawn.

#### **Prior Art Rejections**

*Summary of Argument:* Applicant has amended independent claims 1, 5, 19, 33, and 37 by adding the limitation that the low-resolution digital representation is processed by performing the listed set of operations without user intervention. Applicant argues that this amendment overcomes the Breish reference, which "requires user intervention in examining boundaries and detecting edges".

*Examiner's Response:* Applicant's arguments have been fully considered but are not persuasive. Applicant's amendment has added the limitation to step (b) that all of its substeps (e.g. substeps (b)(1)-(b)(5) in claim 1) are performed without user intervention. Referring to claim 1 as an exemplary claim, substeps (b)(1), (b)(3), and (b)(5) are disclosed in Breish reference. The Aikawa reference is brought in for the smoothing filter of (b)(2), and the Pasco reference is brought in to show the orientation correction of the medium as recited in substep (b)(4). Neither one of Aikawa's smoothing filter or Pasco's orientation correction require user intervention. This fact is not in dispute. Indeed, the applicant is simply asserting that the Breish reference requires user intervention and is therefore deficient to meet the new claim limitation.

The examiner disagrees. Breish performs substeps (b)(1), (b)(3), and (b)(5) without user intervention. Substep (b)(1) calls for the processing system to 'define the borders of the medium, such that all of the individual images are within the defined borders'. Breish discloses this limitation at col. 2 lines 58-59. The reference states that "boundaries for defining a block of image data are defined". The reference further states that the "block of image data" is the result of a scanning a first medium (Breish col. 2 lines 48-49). This step of defining the boundaries of a block of image data is therefore analogous to the claimed step of 'defining the borders of the medium'. Furthermore, this step does not require user intervention. Breish discloses that these 'parameter

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adjustments' are performed by a processor, and hence do not require any user intervention (Breish col. 4 lines 33-35).

With regard to substeps (b)(3) and (b)(5), Breish discloses the limitations of both of these substeps at col. 2 lines 59-61 of his disclosure. The reference discloses setting parameters to "define expected boundaries of the individual images within the block". This constitutes both the location and edge detection of the individual images. Furthermore, this step is performed without any user intervention (again, see Breish col. 4 lines 33-35).

Thus, it appears as if the applicant has misinterpreted the Breish reference by making the assumption that the process in Breish "requires user intervention in examining boundaries and detecting edges". Clearly, this process does not require user intervention, and we can conclude that all of the limitations of the newly amended independent claims are still met by the combination used in the previous action.

#### **Claim Rejections - 35 USC § 103**

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-8, 17-22, 31-40 and 49-50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Breish (USPN 5,845,018) in view of Aikawa et al (USPN 6,317,221), and further in view of Pasco et al (USPN 6,064,778).

With regard to claim 1, Breish discloses scanning a medium at a relatively low resolution to generate a low resolution digital representation of the medium and the individual images thereon (Breish col. 2 lines 52-62). The block of image data disclosed in Breish is analogous to a low resolution digital representation of the medium as recited in the claim. Breish further discloses defining borders of the medium such that all of the individual images are within the defined borders (Breish col. 2 lines 58-61). Breish further discloses detecting edges of each area containing at least one image (Breish col. 2 lines 59-61), and locating each of the individual images within its corresponding area in the medium (Breish col. 2 lines 59-61). Breish further discloses generating an index of all individual images identified on the medium (Breish col. 3 lines 1-2). The display of all scanned images from the first medium as disclosed in Breish is analogous to an index of the individual images as recited in the claim.

Breish fails to expressly disclose applying a smoothing filter to the low resolution representation. Aikawa, however, teaches applying such a filter in a similar environment (Aikawa col. 22 lines 35-42). It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Breish's method for extracting individual images by applying a smoothing filter to the image as taught by Aikawa. Such a modification would have allowed for the removal of noise (such as film grain) in the image (Aikawa col. 22 lines 35-42).

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The combination of Breish and Aikawa discloses all of the limitations of claim 1, but fails to expressly disclose determining, and if necessary correcting, the orientation of the medium. Pasco, however, teaches correcting the orientation of a scanned image (Pasco col. 3 line 66 – col. 4 line 15). It would have been obvious to one reasonably skilled in the art at the time of the invention to modify the combination of Breish and Aikawa's image extraction method in order to compensate for a skewing of the image which is scanned as taught by Pasco. Such a modification would have allowed for a method which compensated for an incorrect orientation of an image during the scanning process (Pasco col. 4 lines 6-10).

With regard to claim 2, Aikawa further discloses selecting one or more of the individual images from the index, rescanning each of the selected individual images at a relatively high resolution and generating a high-resolution output of each of the selected individual images (Aikawa col. 16 lines 32-54). The selection of the thumbnail image as disclosed in Aikawa is analogous to selecting an individual image from the index as recited in the claim. The scanning of this image as disclosed in Aikawa is analogous to re-scanning the image at a relatively high resolution as recited in the claim. It would have been obvious to one reasonably skilled in the art at the time of the invention to combine the re-scanning of an image selected from an index at a higher resolution as taught by Aikawa with Breish's image extraction method in order to have a method which only scanned selected images at a high resolution. Such a modification would have made for a more efficient image extraction method in that all of the images weren't scanned, but only the selected images.

With regard to claim 3, Breish further discloses reading images from a microfiche (Breish col. 4 line 1). The microfiche disclosed in Breish qualifies as a slide as recited in the claim.

With regard to claim 4, Breish further discloses an index which is a collection of thumbnail images (Breish col. 8 lines 38-40).

With regard to claims 33-36, a computer-readable recording medium that stores a program which causes the computer to execute the steps of a method is essential if the image processing method disclosed in the combination of Breish, Aikawa and Pasco is to function. Therefore, a computer-readable recording medium is inherent in the teachings of Breish, Aikawa and Pasco.

With regard to claim 19, which is representative of claim 5, Breish discloses a scanner for scanning the medium and the holder at a relatively low resolution to generate a low-resolution digital representation of the holder and the medium including the individual images thereon (Breish col. 2 lines 52-62). Breish discloses that the images which are scanned are contained on a microfiche, which is analogous to an image holder as recited in the claim in that it holds a medium in which comprises individual images. As a result, the block of image data disclosed in Breish is analogous to a low resolution digital representation of the holder and the medium as recited in the claim. Breish further discloses a storage medium in communication with the scanner for storing the low resolution representation (Breish col. 8 lines 46-48). Breish further discloses a processing means in communication with a storage medium for processing a low resolution digital representation (Breish col. 8 lines 46-48).

Breish further discloses means for defining borders of the holder such that all of the image holding areas and all of the individual images contained therein are within the defined borders (Breish col. 2 lines 58-61). Breish

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further discloses means for detecting edge segments of the image holding areas and locating each of the individual images within the image holding areas (Breish col. 2 lines 59-61). Breish further discloses means for generating an index of the individual images identified on the medium (Breish col. 3 lines 1-2). The display of all scanned images from the first medium as disclosed in Breish is analogous to an index of the individual images as recited in the claim.

Breish fails to expressly disclose a means for applying a smoothing filter to the low resolution representation and a means for determining the orientation of at least one image holding area with respect to a reference, and if it determined that the at least one image-holding area is skewed with respect to the reference, for correcting the orientation of the at least one image holding area. These elements, however, are taught by Aikawa and Pasco, respectively. The arguments as to why it would be obvious to combine these references are given in the above argument with respect to claim 1, and consequently will not be repeated here.

With regard to claims 20-22, which are representative of claims 6-8, the limitations of the claims and motivation arguments are given in the above argument with respect to claims 2-4, and consequently will not be repeated here.

With regard to claims 31 and 32, which are representative of claims 17 and 18, Breish further discloses that the locating means identifies boundaries of the medium in each of the identified image-holding areas and the boundaries of each individual image (Breish col. 2 lines 59-61). Breish discloses defining the boundaries of the individual images. Defining the boundaries of the individual images inherently defines the boundaries of the medium in the image holding areas in that the image holding area in this particular case is holding the individual image.

With regard to claims 37-40 and 49-50, a computer-readable recording medium that stores a program which causes the computer to execute the steps of a method is essential if the image processing method disclosed in the combination of Breish, Aikawa and Pasco is to function. Therefore, a computer-readable recording medium is inherent in these teachings.

5. Claims 14, 15, 16, 28, 29, 30, 46, 47 and 48 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Breish, Aikawa and Pasco as applied to claims 5, 19 and 37 above, and further in view of Melen et al. (USPN 5,867,277). The arguments as to the relevance of Breish, Aikawa and Pasco as applied in paragraph 4 above are incorporated herein.

With regard to claim 28, which is representative of claim 14, Breish discloses distinguishing the edge segments (boundaries) of an image, but fails to expressly disclose a specific method for doing so. Melen, however, discloses a detecting and identifying means in which components from one type of image area are separated from components from another type of image area. Melen further discloses identifying groups of connected components and identifying each of the image holding areas from the size and shape of the corresponding group of connected components (Melen col. 16 line 50 – col. 17 line 55). The connected components disclosed in Melen are analogous

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to the connected edge segments as recited in the claim. It would have been obvious to one reasonably skilled in the art at the time of the invention to modify the boundary detection and identification means disclosed in the combination of Breish, Aikawa and Pasco, by adding that the boundaries are detected by connecting components as taught by Melen. Such a modification would have allowed for a method of distinguishing one part of an image from another.

With regard to claims 29 and 30, which are representative of claims 15 and 16, the combination of Breish, Aikawa and Pasco discloses computing a rotation angle of each of the image holding areas with respect to a reference using a representative line drawing of a the image holding area (Pasco Figure 10). Said combination fails to expressly disclose that a Hough transform is used to determine the rotation angle.

Melen, however, teaches using a hough transform to determine a skew angle (Melen col. 14 lines 50-52). It would have been obvious to one reasonably skilled in the art at the time of the invention to modify the image rotation determination method disclosed in the said combination by specifying that the rotation angle is determined from a hough transform as taught by Melen. Such a modification would have allowed for the use of an effective mechanism of determining the rotation angle of an image which is well known in the art.

With regard to claims 46, 47 and 48, a computer-readable recording medium that stores a program which causes the computer to execute the steps of a method is essential if the image processing method disclosed in the combination of Breish, Aikawa, Pasco and Melen is to function. Therefore, a computer-readable recording medium is inherent in the teachings of said combination.

6. Claims 9, 23 and 41 rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Breish, Aikawa and Pasco as applied to claim 19 above, and further in view of Pearlstein et al. (USPN 6,148,033). The arguments as to the relevance of the said combination as applied in paragraph 4 above are incorporated herein.

With regard to claim 23, which is representative of claim 9, Breish discloses defining the border of an image holder, but does not expressly disclose darkening the pixels in, or within a predetermined distance from, the outer most row/column of pixels representing the holder.

Pearlstein, however, discloses framing an image with black borders within a predetermined distance from the outer most row/column of pixels representing the image (Pearlstein col. 7 lines 48-50). It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Breish's border defining means by adding a darkened pixels around the image as taught by Pearlstein. Such a modification would have allowed for a clearer border definition.

With regard to claim 41, a computer-readable recording medium that stores a program which causes the computer to execute the steps of a method is essential if the image processing method disclosed in the combination of Breish, Aikawa, Pasco and Pearlstein is to function. Therefore, a computer-readable recording medium is inherent in these teachings.

7. Claims 10, 11, 24, 25, 42 and 43 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Breish, Aikawa and Pasco as applied to claims 5, 19 and 37 above, and further in view of Lee (USPN 5,606,375). The arguments as to the relevance of the said combination as applied in paragraph 4 above are incorporated herein.

With regard to claim 24, which is representative of claim 10, Aikawa discloses applying a smoothing filter to a low resolution, RGB representation of an image, but fails to expressly disclose a smoothing filter which only smooths the R data. Lee, however, discloses a low-pass filter (smoothing filter) which operates only on the red channel (Lee col. 6 lines 13-15). It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Aikawa's RGB smoothing filter by including a smoothing filter operable only to filter the red data channel as taught by Lee. Such a modification would have provided a system in which the red channel of an RGB image was separately smoothed.

With regard to claim 25, which is representative of claim 11, Aikawa further discloses that the output pixels of the smoothing filter are determined by the weighted average of the pre-filtered version of that pixel and each of the pixels in a pre-defined neighborhood (Aikawa col. 22 lines 43-47).

With regard to claims 42 and 43, a computer-readable recording medium that stores a program which causes the computer to execute the steps of a method is essential if the image processing method disclosed in the combination of Breish, Aikawa, Pasco and Lee is to function. Therefore, a computer-readable recording medium is inherent in these teachings.

8. Claims 12, 26 and 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Breish, Aikawa and Pasco as applied to claims 5, 19 and 37 above, and further in view of Yabe et al (USPN 5,337,164). The arguments as to the relevance of Breish, Aikawa and Pasco as applied in paragraph 4 above are incorporated herein.

With regard to claim 26, which is representative of claim 12, Breish discloses detecting edge segments in a low-resolution image, but fails to expressly disclose that the edge segment detection means reduces the image to binary data and then reduces the binary data to the boundaries of the image. Yabe, however, discloses a pixel discrimination method which reduces an image to binary data (Yabe col. 12 lines 1-4). The pixel discrimination means disclosed in Yabe discriminates between an image portion and a non-image portion. This type of discrimination is analogous to edge detection as recited in the claim and it follows that pixel discrimination as disclosed in Yabe is analogous to edge segment detection as recited in the claim. Yabe further discloses reducing the binary data to the boundaries of the image (Yabe col. 16 lines 6-36). Yabe discloses only storing the image



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regions and not the non-image regions. This is analogous to the reducing the binary data to boundaries of the image holding areas as recited in the claim in that the image regions of Yabe are contained within boundaries. It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Breish's edge (boundary) detection means by reducing the data to only the binary data of the image region as taught by Yabe. Such a modification would have allowed for a more efficient system in which only the necessary data was kept.

9. Claims 13, 27 and 45 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Breish, Aikawa and Pasco as applied to claims 5, 19 and 37 above, and further in view of Mackinnon et al (USPN 6,148,155). The arguments as to the relevance of Breish, Aikawa and Pasco as applied in paragraph 4 above are incorporated herein.

With regard to claim 27, which is representative of claim 13, Breish discloses an edge determining unit for detecting the boundary (edge) of a low resolution representation of an image. Breish fails to expressly disclose an edge determining unit which is a pre-defined edge-detecting-filter kernel that applies a threshold test to the output pixel of the filter which makes the output pixel either 0 or 1 depending on the result of the threshold test.

Mackinnon, however, discloses an edge detecting filter kernel (Mackinnon col. 10 lines 25-36) and a threshold test which is applied to the output of the filter (Mackinnon col. 10 lines 36-47). Mackinnon further discloses making the output pixel a value of either 0 or 1 depending on the result of the threshold test (Mackinnon col. 10 lines 36-60). It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Breish's generic edge determining unit by specifying that the edge determining unit employ the above method, which is taught by Mackinnon. Such a modification would have allowed for the use of an edge determining method which is well known in the art.

With regard to claim 45, a computer-readable recording medium that stores a program which causes the computer to execute the steps of a method is essential if the image processing method disclosed in the combination of Breish, Aikawa, Pasco and Mackinnon is to function. Therefore, a computer-readable recording medium is inherent in these teachings.

### ***Conclusion***

10. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final

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action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patrick L Edwards whose telephone number is (703) 305-6301. The examiner can normally be reached on 8:30am - 5:00pm M-F.

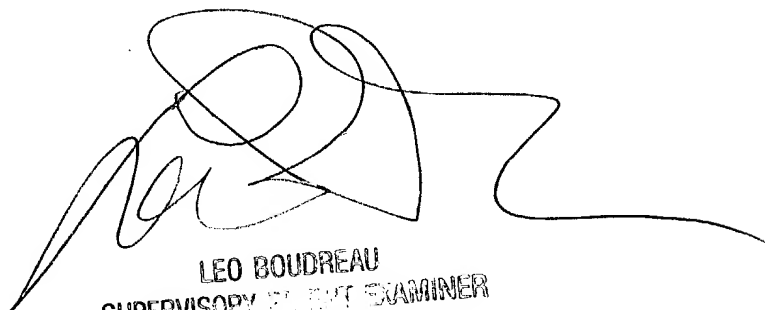
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Leo Boudreau can be reached on (703) 305-4706. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Patrick L Edwards

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